Investment Appointment Checklist

Ent Investment Services — available through CUSO Financial Services, L.P. (CFS)* — is committed to helping you create an investment plan to meet your financial goals. To help ensure that we recommend the best plan for you, it's important to create an accurate picture of your current financial portfolio.

Please review the checklist below and bring materials (e.g. your last statement or notice) for all of your current investment accounts, including any:

- ☐ IRAs
- ☐ Brokerage or other investment accounts
- ☐ Mutual Funds
- ☐ Employer Plans
  - ☐ 401(k) Plan
  - ☐ Profit Sharing
  - ☐ Stock Purchase Plans
- ☐ Retirement/Defined Benefit Plans
- ☐ Employer Stock Options
- ☐ Spouse Employer Plans
- ☐ Previous Employer Plans
- ☐ Credit Union/Bank Account Statements
- ☐ Net Worth Statement (assets and liabilities)
- ☐ Life Insurance Policies/Statements
- ☐ Social Security Benefits Statement
- ☐ Any additional document(s) significant to your personal financial situation

*Non-deposit investment products and services are offered through CUSO Financial Services, L.P. ("CFS"), a registered broker-dealer (Member FINRA SIPC) and SEC Registered Investment Advisor. Products offered through CFS: are not NCUA/NCUSIF or otherwise federally insured, are not guarantees or obligations of the credit union, and may involve investment risk including possible loss of principal. Investment Representatives are registered through CFS. Ent Credit Union has contracted with CFS to make non-deposit investment products and services available to credit union members.

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